

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO. DOX 1450 Alexandria, Virginia 22313-1450 www.usphupor

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/812,311

David G. Posz

03/30/2004

Takehara

MINB-02023/A-3195

CONFIRMATION NO. 1795

FORMALITIES LETTER

OC000000012908557

Date Mailed: 06/10/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

Adduci, Mastriani & Schaumberg, L.L.P.

1200 Seventeenth Street, N.W.

Washington, DC 20036

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

07/23/2004 EABUBAK1 00000119 010305 10812311

P.O. Box 1450

)1 FC:1001 770.00 DA 2 FC:1051 130.00 DA

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

This Form Based on PTO/SB/21

TRANSMITTAL			Application Number	10/81	10/812,311		
FORM			Filing Date	March	March 30, 2004		
(to be used to be used			First Named Inventor	TAKE	TAKEHARA		
			Group Art Unit				
(JUL 2 2 20	04 길)		Examiner Name				
PATE	2		Attorney Docket Number	MINB	MINB-02023/A-3195		
THATE MY							
ENCLOSURES (check all that apply) See Transmittel Form Assignment Papers After Allowance Communication							
Fee Transmitt	al Form	X As	r an Application)		to Group		
Fee Att	Fee Attached Dr		awing(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment /	Response	Lic	ensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Fi	nal	Petition Routing Slip (PTO/SB/69) and Accompanying Petition) 🔲	Proprietary Information		
Affidavi	ts/declaration(s)	To Convert a Provisional Application			Status Letter		
Extension of Time Request		Po Ch	wer of Attorney, Revocation ange of Correspondence dress	\times	Additional Enclosure(s) (please identify below):		
Express Abandonment Request		Terminal Disclaimer			Declaration and Power of Attorney		
Certified Copy of Priority Document(s)		Request of Refund					
Response to Missing Parts/ Remainstration		Remarks					
Response to Missing Parts under 37 CFR 1.52 or 1.53							
	SIGNA	TURE OF A	APPLICANT, ATTORNEY, C	R AGEN	T		
Firm or	David G. Posz (Reg. No.	37,701)				
Individual name	Adduci, Mastria	ıni & Sch	aumberg, LLP				
Signature							
Date July 22, 2004							
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Arlington, VA 22202 on this date:							
Type or printed name							
Signature				Date			

PTO/SB/17 (10-03) OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number. Act of 1995, no persons Under the Paperwork Reduction

F	EE	TR	AN	SM	14	AL
	1	for	FY	200	04	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	940
117/	• • • •

Complete if Known				
Application Number	10/812,311			
Filing Date	March 30, 2004			
First Named Inventor	TAKEHARA			
Examiner Name				
Art Unit	2819			
Attorney Docket No.	MINB-02023/A-3195			

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
Check Credit card Money Other None		3. ADDITIONAL FEES					
		Entity Fee	Small Fee	Entity Fee		Fee Paid	
X Deposit Account Deposit		(\$)	Code	(\$)	Fee Description		
Account Number 01-0305		130	2051	65	Surcharge – late filing fee or oath	130	
Deposit Account Name Adduci, Mastriani & Schaumberg, LLP		50	2052	25	Surcharge – late provisional filing fee or cover sheet		
The Commissioner is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification		
X Charge fee(s) indicated below X Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
X Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filling fee	1805	1.840*	1805	1,840*	Requesting publication of SIR after		
to the above-identified deposit account.	,,,,,	.,		.,0 .0	Examiner action		
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month		
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month		
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month		
Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month		
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1005	Extension for reply within fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal		
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
	1452	110	2452	55	Petition to revive – unavoidable		
SUBTOTAL (1) (\$) 0	1453	1,330	2453	665	Petition to revive – unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)		
Fee from Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee		
Total Claims 9 -20**= 0 x 18 =	1503	640	2503	320	Plant issue fee		
Independent Claims 3 - 3**= 0 X 86 =	1460	130	1460	130	Petitions to the Commissioner		
Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Strnt		
Fee Fee Fee Fee Description	8021	40	8021	40	Recording each patent assignment per	40	
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	property (times number of properties) Filing a submission after final rejection (37 CFR § 1.129(a))		
1201 86 2201 43 Independent claims in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))		
1203 290 2203 145 Multiple dependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)		
1204 86 2204 43 **Reissue independent claims		900	1802	900	Request for expedited examination of a design application		
over original patent 1205 18 2205 9 **Reissue claims in excess of 20 and over original patent			•		o. a assign approximen		
SUBTOTAL (2) (\$) 0	Other	Other fee (specify)					
** or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid			Fee Paid	SUBTOTAL (3) (\$) 1	70	

Complete (if applicable) SUBMITTED BY Registration No. Telephone (202) 467-6300 Name (Print/Type) 37,701 David G. Posz (Attorney/Agent) Signature July 22, 2004 Date

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): TAKEHARA

Serial No.: 10/812,311

Filed: March 30, 2004

Title: ENCODER OUTPUT DIVIDER

AND R/D CONVERTER

Atty. Dkt.: MINB-02023/A-3195

Group Art Unit: 2819

Examiner: Unknown

Commissioner for Patents Arlington, VA 22202

Mail Stop Missing Parts

Date: July 22, 2004

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

This is in response to the Notice to File Missing Parts of Nonprovisional Application mailed on June 10, 2004 in connection with the above application. Enclosed is a copy of said Notice, as well as the following documents required to complete the filing requirements of the above application.

Please charge the amount of \$940 to Deposit Account No. 01-0305 to cover the following fees:

- Executed Declaration and Power of Attorney. The above-identified application is the same application the inventors executed by signing the enclosed declaration
- Executed Assignment and Recordation Cover Sheet
- A check in the amount of \$940 to cover the following fees:
 - Statutory filing fee of \$770;
 - Assignment Recordation Fee of \$40; and
 - Missing parts surcharge of \$130;

It is respectfully requested that any additional fees be charged to Deposit Account 01-0305.

Respectfully submitted

David G. Posz

Reg. No. 37,701

DGP/yfm

Adduci, Mastriani & Schaumberg, LLP 1200 Seventeenth Street, N.W.

Washington, D.C. 20036 Phone: (202) 467-6300